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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/393,752 TRANSMITTAL Filing Date September 10, 1999 **FORM** First Named Inventor Dantu, et al. Art Unit 2697 **Examiner Name** Lee T. Khuong (to be used for all correspondence after initial filing) Attorney Docket Number 135544 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s)_ Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Alcatel Signature Printed name Jessica W. Smith Date Reg. No. , 2005 39,884 CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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Melanie Murdock Typed or printed name

Date

, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

al No.:

Dantu, et al.

Docket:

135544

09/393,752

Art Unit:

2697

Filed:

September 10, 1999

Examiner:

Lee T. Khuong

Title:

System and Method for Packet Level Restoration of IP Traffic Using Overhead

Signaling in a Fiber Optical Ring Network

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Certificate Under 37 C.F.R. §1.8(a)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

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accordance with 37 C.F.R. §1.6(d).

In the matter of the above-identified application, Applicant is submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This Information Disclosure statement is being submitted before the mailing date of either a Final Action or a Notice of Allowance and is accompanied by the following certification specified in 37 CFR 1.97(e).

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, includes extension of time fees, to the Deposit Account No. 50-0838 of Alcatel USA, and please credit any excess fees to such Deposit Account.

Respectfully submitted,

Reg. No. 39.884

Alcatel

Intellectual Property Department 3400 W. Plano Parkway, M/S LEGL2

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Phone: (972) 477-9109 Fax: (972) 477-9328

PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

m 1449A/PTO

Complete if Known **Application Number** 09/393,752 Filing Date September 10, 1999 First Named Inventor Dantu, et al. Art Unit 2697 Examiner Name Lee T. Khuong

(Use as many sheets as necessary) Attorney Docket Number 135544 Sheet

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	^{US-} 5,488,501	01/30/1996	Peter E. Barnsley	
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FOREIGN PATENT DOCUMENTS						
Examiner	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY			T⁵
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Signature		Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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PADE Substitute for form

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 09/393,752 Filing Date September 10, 1999 First Named Inventor Dantu, et al. Art Unit 2697 Examiner Name Lee T. Khuong

(Use as many sheets as necessary) Sheet Attomey Docket Number 135544 2

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	I CILE I					
	В	BLUMENTHAL, et al.; WDM Optical Tag Switching with Packet-Rate Wavelength Conversion and Subcarrier Multiplexed Addressing; International Conference on Integrated Optics and Optical Fiber Communication Conference; OFC/IOOC; 21-26 Feb. 1999; pp. 162-164; Vol. 3				
	C HUNTER, et al.; WASPNET: A Wavelength Switched Packet Network; IEEE Communication Magazine; March 1999; pp. 120-129; Vol. 337; Iss. 3					

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.